

Prosody guides the rapid mapping of auditory word forms onto visual objects in 6-mo-old infants

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Human infants are predisposed to rapidly acquire their native language. The nature of these predispositions is poorly understood, but is crucial to our understanding of how infants unpack their speech input to recover the fundamental word-like units, assign them referential roles, and acquire the rules that govern their organization. Previous researchers have demonstrated the role of general distributional computations in prelinguistic infants' parsing of continuous speech. We extend these findings to more naturalistic conditions, and find that 6-mo-old infants can simultaneously segment a nonce auditory word form from prosodically organized continuous speech and associate it to a visual referent. Crucially, however, this mapping occurs only when the word form is aligned with a prosodic phrase boundary. Our findings suggest that infants are predisposed very early in life to hypothesize that words are aligned with prosodic phrase boundaries, thus facilitating the word learning process. Further, and somewhat paradoxically, we observed successful learning in a more complex context than previously studied, suggesting that learning is enhanced when the language input is well matched to the learner's expectations.

statistical learning | language acquisition | lexical development | intonational phrase

Acquiring a language includes learning mappings from sounds (or signs) to meanings. However, words—the principle units of meaning—are not given directly in the input, but are embedded in a speech signal whose structure is governed by grammatical processes operating at multiple levels. One of the primary steps in language acquisition, therefore, is to discover the sound sequences that define words. However, as any adult confronted with a foreign language can attest, it is hard to perceive unfamiliar speech as sequences of words. Additionally, the language learner must also discover what the words refer to, a particularly tricky problem given the innumerable possible referential features in the world (1, 2). Nevertheless, by 6 mo of age, infants have spontaneously extracted and begun to understand their first words, including highly frequent items such as “no”, “Mommy”, and the child's own name (3).

Here we provide evidence that 6-mo-olds can rapidly extract a statistically defined, novel auditory word form from running speech and simultaneously map it onto a visual referent in an array of objects. Moreover, we find this dual process of word segmentation and referent mapping only when the statistically defined words are aligned with phrasal prosodic constituents, a universal structural property of natural languages. These findings build on three key results from past research: (i) 7- to 8-mo-old infants can extract statistically defined syllable sequences from fluent speech as candidate auditory word forms (4, 5), (ii) by 14 mo, infants can reliably map isolated auditory word forms onto visual referents (6–8), and (iii) by 17 mo, toddlers can extract auditory word forms on the basis of syllable statistics and subsequently map them onto candidate visual referents (9). We demonstrate all of these behaviors simultaneously in infants as young as 6 mo of age. Further, we find that prosody plays a central role in these processes.

Background

Infants' early learning capabilities must be sufficiently general to handle the variation manifest in the languages of the world. Previous work on word segmentation in infants has thus focused on general distributional strategies. Given that infants are sensitive to the syllable as a unit of speech (10), computing statistical relations between syllables has been proposed to be a general mechanism that can parse sequences, generating as potential candidate words those syllable sequences that have high statistical coherence (4, 11). This strategy has the advantage of not requiring specific linguistic knowledge, instead relying on general cognitive mechanisms that track distributions over linguistic and nonlinguistic stimuli (4, 11, 12).

However, speech is more than a mere string of words; it is an organization of prosodic units, ranging from syllables to entire utterances (13). In prosodic theory, constituents at a higher level of the hierarchy are made up of units at lower levels. Of critical importance for the task of word segmentation, the larger, phrasal prosodic constituents are composed of word-like units, such that the onsets and offsets of phrasal constituents are also the beginnings and ends of words. Critically, as early as 2 mo of age, infants are sensitive to prosodic phrases (14, 15), which are marked by acoustic cues like pitch lowering and durational increases (13, 16). In light of the alignment of words with the edges of prosodic phrases, attention to acoustic cues signaling these phrases could serve as a potentially powerful aid to segmentation (ref. 17, for a computational model of this in adult speech). Indeed, previous studies have shown that even 10-mo-old infants can use prosodic information to constrain their search for known word-form candidates (18). However, to date, studies exploring the potential interaction between distributional and prosodic cues in speech segmentation have been limited to lexical (i.e., word-internal) prosody, where, perhaps unsurprisingly, infants rely on distributional cues at an earlier stage than language-specific, word-stress cues (19).

Further, although much work has demonstrated infants' use of general statistical learning mechanisms in the service of speech segmentation, the linguistic status of the sequences extracted using such mechanisms is not entirely clear. Whereas such sequences are preferred (compared with sequences of lower statistical coherence) in subsequent sentential contexts (ref. 5, at 8 mo) and for subsequent mapping onto referents (ref. 9, at 17 mo), the possibility remains that the extracted sequences have no initial linguistic status and are preferred in subsequent tasks due to their enhanced (statistical) salience or familiarity. In addition, previous evidence for the mapping of even single novel words onto referents before the age of 12 mo has been mixed (6, 8, 20). Studies that do show that such young infants are capable of learning the referents of words typically present to-be-learned words as well segmented, isolated

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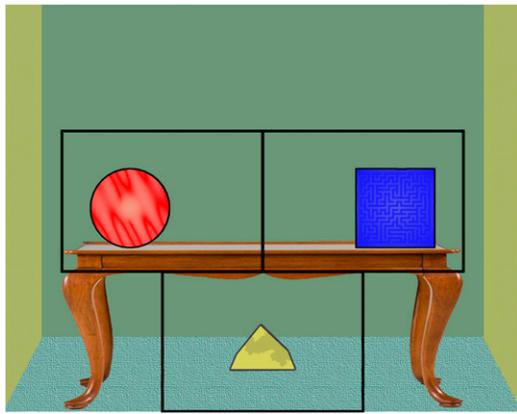


Fig. 1. Screen shot from the experiment, showing the setup of the objects. The three observation windows are overlaid as black outline rectangles.

tokens, embedded in a rich social context (8). However, isolated words form a small proportion of the input to infants (21, 22).

In the present study, we present 6-mo-old infants with prosodically and statistically organized sequences in a simultaneous segmentation and mapping task. Thus, we come closer to assessing the linguistic status of the segmented auditory word forms on the basis of how infants assign these word forms to plausible referents. It may seem counterintuitive that such young infants, who have trouble mapping a single sound sequence onto a single visual object, would find the task easier if they were required to simultaneously segment a statistically coherent word form from fluent speech and map this word form onto one of several visual objects. However, this “simpler is easier” intuition may be misguided. If there are innate constraints on learning, then linguistic input that best matches these implicit expectations may be more easily processed (23, 24). That is, if infants are highly attentive to prosodic cues in the input and expect speech input to be prosodically organized, then a segmentation and mapping task may be easier when such expectations are met. Evidence for such innate constraints is quite strong. In the first month of life, infants prefer intonated speech to syllable lists, show a right ear and left-hemisphere brain advantage for processing speech-like stimuli, and can discriminate nonnative languages across, but not within, prosodically defined linguistic families (25–28).

Given that words typically do not occur in isolation, and that infants prefer intonated speech, we tested the prediction that these circumstances would be maximally effective for extracting auditory word forms from continuous speech and mapping these

onto visual referents. That is, word forms embedded in intonated, fluent speech might, somewhat paradoxically, be easier to apprehend than word forms presented in isolation, as in previous studies (20).

Current Research

We asked if 6-mo-olds could segment an auditory word form (a nonce word) from short “utterances” and simultaneously associate it with one of several objects. (An utterance—a string of one or more words bounded by silence—is the highest level of the prosodic hierarchy.) To control the properties of the visual display, infants saw short video animations. The display consisted of a target object (a red circle) and two distracter objects (a blue square and a yellow triangle, Fig. 1), arrayed around a table. In each of nine training trials, infants heard two utterances, as the target object moved along the table (Fig. 2). Each utterance consisted of a pentasyllabic string of the form $xAByz$, where AB is the target nonce word, whereas the syllables x , y , and z vary. The target nonce word was, statistically speaking, the best possible word-like candidate: (i) The transitional probability from A to B was 1.0, and all other transitional probabilities between syllables were <1.0 , and (ii) the conditional probability of AB given the visual scene was 1.0, thereby creating a perfect association between the statistically coherent word form and the visual target object. [The transitional probability (TP) from an element x to an element y is given by frequency (xy)/frequency (x); it reflects the normalized probability that x is followed by y . Previous work has established that infants are sensitive to TPs in fluent speech (e.g., ref. 4). In this study, the word is not just the sequence with the highest internal TP, but is also the most frequent bisyllable and is the bisyllable with the highest mutual information. For the present purposes, we treat these various statistical metrics as equivalent.]

Each utterance was recorded as two intonational phrases without an intervening pause (Fig. 3 and *Materials and Methods*). Typically, an intonational phrase (IP) is “... the domain of a perceptually coherent intonational contour, or tune” (ref. 29, p. 210). From Fig. 3 *A* and *B* it can be seen that the two IPs correspond to two intonational (pitch) contours and that there is no pause between the two IPs. Critically, for one group of infants ($n = 12$) the nonce word [AB] was aligned with the boundary between the phrases ($[xAB]$ [yz]), whereas for the other group ($n = 12$) it straddled the boundary ($[xA]$ [Byz]), as can be seen from Fig. 3 *A* and *B*.

The short training phase was immediately followed by a test phase that was identical for all infants. In each test trial (Fig. 4), infants saw all three objects looming on the screen. Once the infant looked at the screen, we collected baseline proportion of

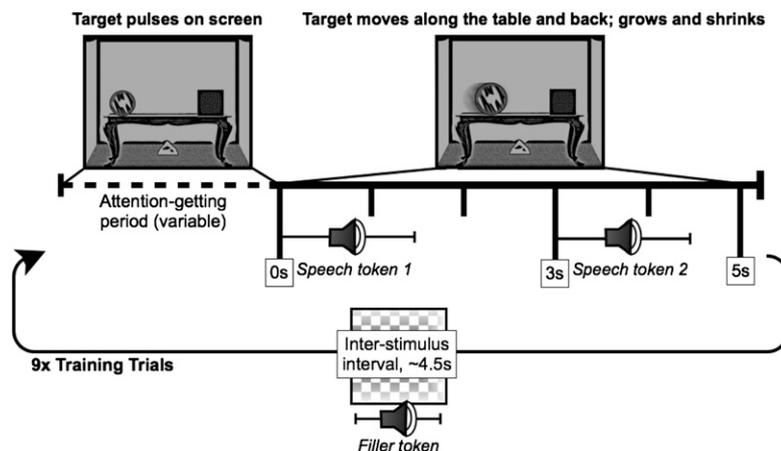


Fig. 2. Timeline of the training trials. The target object is a patterned, red, circular object (Fig. 1). The duration of the auditory stimuli is shown with respect to the timeline.

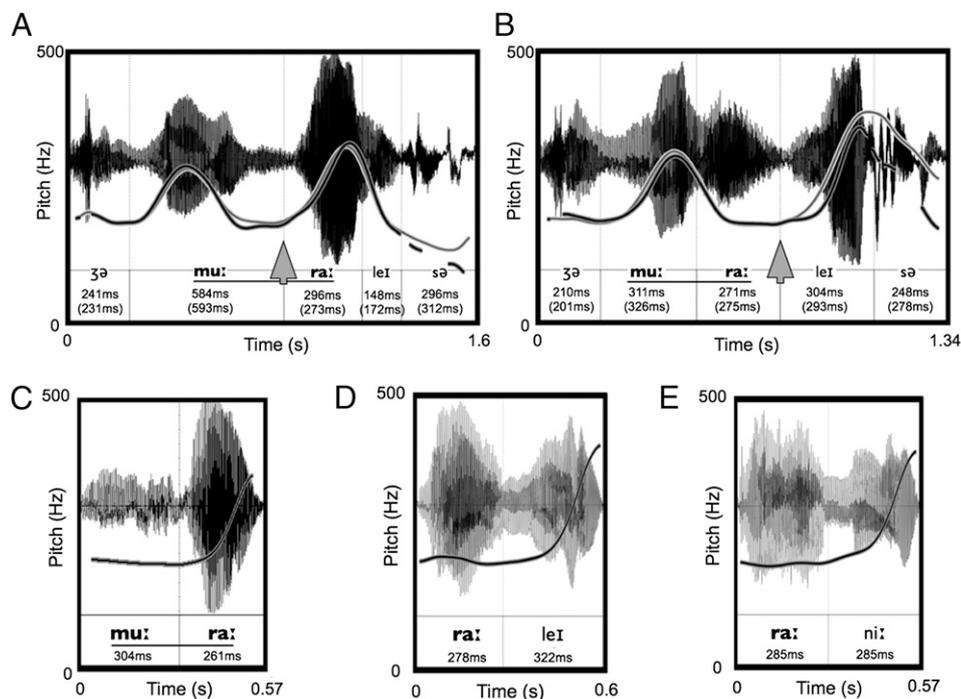


Fig. 3. (A and B) Speech waveforms corresponding to single tokens of training utterances from the (A) IP-straddling word condition and (B) IP-aligned word condition. Pitch and duration characteristics are also given. Arrowheads mark the location of IP boundaries. The pitch track with dark shading corresponds to the displayed waveform, whereas the pitch track with light shading is the mean across all four tokens in that condition. Similarly, durational measures for the syllables in A and B are given for the displayed token, with means across all tokens in parentheses (Table 1). (C–E) Speech waveforms, pitch tracks, and syllable durations for the three single tokens of the bisyllabic test items (the high-TP word and two bisyllabic part words). The nonce “word” (C) is perceived by adults as being more similar to its occurrence in the IP-straddling word condition (A) than in the IP-internal word condition (B) (*Materials and Methods*).

looking to each of the three objects. Then, we played two tokens of either the [AB] word or one of the two [By] part-word foils and measured changes in looking over baseline (*Materials and Methods* and Fig. 4). These test tokens were well-formed utterances, prosodically distinct from the training tokens (see Fig. 3 C–E).

Results and Discussion

During the 1.5-s baseline period in test trials, overall proportion of looking to the target (median 0.69) and to the distracters (median 0.12) was nonnormally distributed, and infants spent significantly greater time looking at the target compared with the distracters (Wilcoxon signed-rank test, $Z = 8.36$, $P < 0.0001$). Proportion of looking to the target window alone during baseline showed no effect of prosodic condition or the test items. Thus, during baseline, infants showed the same looking pattern regardless of test stimulus or familiarization group.

The primary dependent measure of interest was the difference in proportion of looking between the remaining 2 s of each trial (the critical period, Fig. 4) and the baseline period. For this measure, we found significant effects for the nonce word but not the part words, indicating that infants extracted the frequent, high-TP (statistically coherent) unit from the speech streams (*Data Analysis* and Fig. 5). However, the pattern of looking differed between the two groups of infants. For the change-in-looking measure on nonce word test trials, the presentation of the high-TP word form caused significantly more looking to the target over the distracters in the IP-aligned condition ($P = 0.005$). In contrast, in the IP-straddling condition, presentation of the high-TP word form caused significantly more looking to the distracters compared with the target ($P = 0.011$, see *Materials and Methods* for further details), resulting in a significant three-way interaction ($P = 0.0026$) between *word prosody*, *bisyllable type* (word or the two part words), and *observation window* (corresponding to the object locations).

These results demonstrate that 6-mo-old infants can succeed at the seemingly complex task of simultaneously extracting potential word-like auditory units from short sentences and associating them with on-screen visual referents after only limited exposure in a laboratory context. Consistent with many previous studies (4, 5, 19), infants were sensitive to the statistical properties of speech, showing significant modulation of their looking behavior only for the high-TP nonce word. However, the prosodic organization of speech appeared to play a vital role in what referent the infant associated with this bisyllable: When it was aligned with an intonational phrase, infants associated it with a simultaneously moving object. In contrast, when the statistical information and prosodic information were misaligned, infants ultimately mapped the high-TP nonce word to the nontarget objects. In this case,

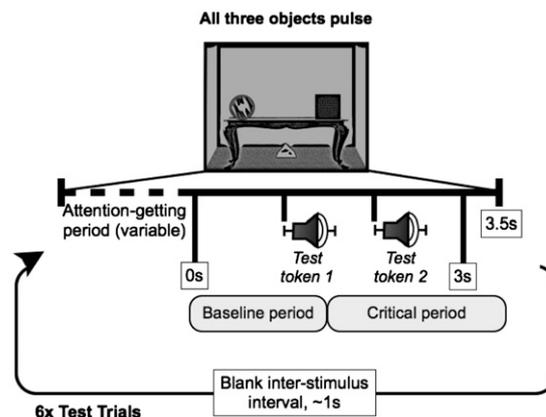


Fig. 4. Timeline of the test phase trials.

words than the nonaligned words, adults ($n = 20$) were presented with excised portions of the training stimuli corresponding to the IP-aligned and IP-straddling nonce words and the test version of the nonce word (all band-pass filtered between 0.1 and 1.0 kHz). In a three-alternative, spot-the-odd-man task, adults rated the IP-aligned version of the nonce word as being most dissimilar (45% of the time), compared with the IP-straddling (25%) and the test (30%) versions (these proportions are different from chance, $\chi^2 = 7.8$, $P = 0.02$). This pattern of results shows that any preference for the prosodically aligned word at test cannot be due to greater acoustic similarity between the aligned word and the test stimuli. Further, inspection of pitch tracks (in Praat) corresponding to the stimuli confirmed the adult judgments: The test stimuli exhibit a rise in pitch on the second syllable; this second-syllable rise is similarly present in the IP-straddling nonce word during familiarization, but not in the IP-aligned nonce word (Fig. 3).

Visual stimuli consisted of a scene of a "room" with a table, around which three objects were arrayed (Fig. 1). The objects were $\sim 200 \times 200$ pixels ($\sim 5^\circ$) in size. At various points during each trial, the objects were animated and moved with respect to the table. Animation was contingent on infant looking behavior, determined by custom-designed software (47) receiving input from the eye tracker.

Procedure. Infants were seated on a caregiver's lap. Caregivers listened to masking music over sound-attenuating headphones and wore a visor to block their view of the screen. Visual stimuli were presented on the 17-inch ($1,280 \times 1,024$ pixels) monitor of a Tobii 1750 eye tracker. Each trial began with the red object looming to 105% of its initial size and back again (i.e., the object pulsed) over 1 s, while the sound of a drum repeated until the infant looked at the screen. Once the eye-tracker software detected the infant's gaze in an observation window surrounding the red object (Fig. 1), the object pulsed and moved 50 pixels along the table toward the center of the screen, pulsed once, and returned to its original location. Simultaneous with the movement, two tokens of five-syllable sentences (utterances), separated by 1.5 s of silence, were presented at a comfortable listening level (65 dB). The two sentences on each trial were either both Type *a* or one Type *a* and one Type *b*; over nine training trials, Type *a* sentences were twice as frequent as Type *b* sentences. In the intertrial interval, infants saw a full-screen looming checkerboard, while simultaneously hearing a single trisyllabic utterance of the form $[/z\theta\text{-}X\text{-}s\theta/]$, where "X" was randomly chosen from $/ga:/$, $/du:/$, or $/be/$. Therefore, the edge syllables $[/z\theta/$ and $/s\theta/]$ had the highest syllable frequencies and the lowest mutual information, mimicking function words in language. In addition to providing a more language-like input, this manipulation also ensured that the target syllable sequences were not at the clearest perceptual edges, so that the observed effects can be attributed to infants' perception of utterance-internal prosodic phrasing.

In each (3.5 s long) test trial, all three objects loomed to maintain infants' interest. After 1 s of silence, two tokens (separated by 500 ms of silence) of either the word or one of the two part-word bisyllables were presented. The three test items were presented in two test trials each, for a total of six test trials. Although not all infants finished all six trials, each infant completed at least one trial for each test bisyllable.

Data Analysis. Data organization and the Lilliefors tests were performed in Matlab (MathWorks), and subsequent analyses were carried out in Data Desk 6.2 (Data Description). Observation windows were 500×350 pixels in size, surrounding each of the three objects (the larger widths accommodate the lateral movements of the objects during training). For each object (window), we computed the proportion of looks in that window relative to the total on-screen looks for two time periods (Fig. 4): (i) a baseline period from the start of the infant looking to the screen until 500 ms beyond the onset of the first bisyllable and (ii) a critical period, 2 s beyond the baseline time period. For the purpose of comparing equivalent-sized windows, looking proportions to the two distracter objects were averaged. Proportion of looking to the target window during baseline was submitted to a 2 (*word prosody*: IP-aligned or IP-straddling) \times 3 (*bisyllable type*: word, frequent part word, infrequent part word) ANOVA, with subjects as a random factor, nested under *word prosody* (all groups were normally distributed, Lilliefors test, all $P > 0.05$). None of the main effects or interactions were significant for the baseline period (all $P > 0.2$).

The dependent measure for the critical analyses was the proportion of looking for a given window in the critical time period minus the proportion of looking for that window in the baseline time period. If the infant devotes a greater percentage of time to a given window after hearing a bisyllable, the dependent measure increases above zero; it decreases below zero if the infant looks away from that window upon hearing that bisyllable. The change in proportion of looking was averaged across trials for each bisyllable \times window combination for each infant. Values for all groups but one were normally distributed, therefore, all of the data from the infant with the most extreme value in that group (Z -score > 2) were replaced by data from another infant. Although the pattern of results does not change, we report the newer dataset, where all bisyllable \times window groups are normally distributed (Lilliefors test, all $P > 0.05$). Two-tailed t tests showed that for the nonce word in the IP-aligned condition, proportion of looking to the target increased over baseline ($+0.235$, $P = 0.043$), whereas it decreased marginally for distracters (-0.088 , $P = 0.1$). In the IP-straddling condition, proportion of looking to the target decreased (-0.216 , $P = 0.007$), whereas it increased for distracters ($+0.08$, $P = 0.039$). The corresponding comparisons for the part words were nonsignificant (all $P > 0.3$).

An ANOVA for the critical dependent measure was performed, with infants as a random factor, nested within *word prosody*. *Bisyllable type* and *observation window* (target or distracter) were entered as additional within-subject variables. The only significant effect was a three-way interaction between *word prosody*, *bisyllable type*, and *observation window*, $F(2, 158) = 6.162$, $\eta^2 = 0.058$, $P = 0.0026$. In post hoc (Scheffé) tests, for the IP-aligned word condition, target $>$ distracters ($P = 0.005$), whereas for the IP-straddling word condition, distracters $>$ target ($P = 0.011$).

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